

09-04-01

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box → +

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 23]
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
- Oath or Declaration [Total Pages 2]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

Attorney Docket No. 4294.1US (98-1208.1)**First Inventor** John Whitman et al.**Title** SPIN COATING FOR MAXIMUM FILL CHARACTERISTICS YIELDING A PLANARIZED THIN FILM STRUCTURE**Express Mail Label No.** EL 740549656 US**ADDRESS TO:** Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney (*when there is an assignee*)
11. English Translation Document (*if applicable*)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
15. Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No. 09/542,783

Prior application information: Examiner B. Kebede Group Art Unit: 2823

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS
 Customer Number or Bar Code Label (Insert Customer No. or Bar Code Label here) or Correspondence address below

24247

Name	PATENT TRADEMARK OFFICE		
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Brock G. Power	Registration No. (Attorney/Agent)	38,581
-------------------	----------------	-----------------------------------	--------

Signature	Brock G. Power	Date	08/30/01
-----------	----------------	------	----------

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

710.00

Complete if Known

Application Number	Not Yet Assigned
Filing Date	August 30, 2001
First Named Inventor	John Whitman et al.
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	4294.1US (98-1208.1)

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **20-1469**
 Deposit Account Name **TraskBritt**

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	Utility filing fee	710
106	320	Design filing fee	0
107	490	Plant filing fee	0
108	710	Reissue filing fee	0
114	150	Provisional filing fee	0

SUBTOTAL (1) (\$ **710.00**)

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
20	-20** = 0	0	18	0
2	-3*** = 0	0	80	0
Multiple Dependent			0	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	Claims in excess of 20
102	80	Independent claims in excess of 3
104	270	Multiple dependent claim, if not paid
109	80	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ **0.00**)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	0
127	50	Surcharge - late provisional filing fee or cover sheet	0
139	130	Non-English specification	0
147	2,520	For filing a request for ex parte reexamination	0
112	920*	Requesting publication of SIR prior to Examiner action	0
113	1,840*	Requesting publication of SIR after Examiner action	0
115	110	Extension for reply within first month	0
116	390	Extension for reply within second month	0
117	890	Extension for reply within third month	0
118	1,390	Extension for reply within fourth month	0
128	1,890	Extension for reply within fifth month	0
119	310	Notice of Appeal	0
120	310	Filing a brief in support of an appeal	0
121	270	Request for oral hearing	0
138	1,510	Petition to institute a public use proceeding	0
140	110	Petition to revive - unavoidable	0
141	1,240	Petition to revive - unintentional	0
142	1,240	Utility issue fee (or reissue)	0
143	440	Design issue fee	0
144	600	Plant issue fee	0
122	130	Petitions to the Commissioner	0
123	50	Processing fee under 37 CFR 1.17(q)	0
126	180	Submission of Information Disclosure Stmt	0
581	40	Recording each patent assignment per property (times number of properties)	0
146	710	Filing a submission after final rejection (37 CFR § 1.129(a))	0
149	710	For each additional invention to be examined (37 CFR § 1.129(b))	0
179	710	Request for Continued Examination (RCE)	0
169	900	Request for expedited examination of a design application	0
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ **0**)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Brick G. Power	Registration No. (Attorney/Agent)	38,581	Telephone	(801) 532-1922
Signature	Brick G. Power			Date	08/30/2001

WARNING: Information in this form may become public. Credit card information should not be included in this form. Provide credit card information and authorization in PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.